## **Optimal Indexing for PostgreSQL Performance**



#### Introduction

Welcome to this comprehensive guide on PostgreSQL indexing strategies. Throughout this presentation, we'll explore how proper indexing can dramatically boost your database performance and scalability.

#### The Impact of Indexing

Indexing remains the central pillar of PostgreSQL performance tuning, often determining whether your application flies or crawls. With the right indexing strategy, you can achieve orders of magnitude improvement in query response times while reducing server resource consumption.

#### **Common Indexing Challenges**

Many PostgreSQL performance issues stem from missing, redundant, or poorly constructed indexes. A single well-placed index can reduce guery times from minutes to milliseconds, while an unnecessary index can waste storage and slow down write operations. Understanding this balance is key to database optimization.

#### What We'll Cover



The mechanics of how PostgreSQL uses various index types



Strategies for choosing the right index for different guery patterns

|--|

When to use specialized indexes like GIN, GIST, or BRIN

$\bigcirc$	
$\sim$	

How to identify missing indexes and optimize existing ones



Practical techniques for maintaining index health over time

#### **Expected Outcomes**

By the end of this presentation, you'll have a framework for optimizing your PostgreSQL databases through intelligent indexing decisions, enabling you to solve performance bottlenecks and design for future scalability.



### Why Indexing Matters



#### 1

#### Performance Bottlenecks

A significant portion of database performance issues stem directly from inadequate or missing indexes. Without proper indexing, even well-optimized queries can suffer from slow execution times as PostgreSQL struggles to efficiently locate the required data. Identifying and addressing these bottlenecks is crucial for maintaining a responsive and scalable database environment.

#### Accelerated Queries

2

Well-designed indexes can dramatically improve query performance, reducing response times from minutes to milliseconds. By creating a structured lookup mechanism, indexes allow the database to quickly pinpoint the rows that satisfy a query's criteria, avoiding the need to scan through entire tables. The difference in performance can be particularly noticeable for complex queries involving joins, aggregations, or filtering operations.

#### 3 Reduced Resource Consumption

Optimized indexes minimize CPU and I/O load, translating to infrastructure cost savings and improved application scalability. When queries run faster, they consume fewer server resources, freeing up processing power and disk bandwidth for other tasks. This can lead to improved overall system performance, reduced latency, and the ability to handle higher concurrent workloads.

Without appropriate indexing, PostgreSQL is forced to scan entire tables to locate matching records. This process becomes exponentially slower as the volume of data increases. Even moderately sized databases can become sluggish, especially with concurrent users. A query that might take seconds or minutes on a 10 million row table could be executed in mere milliseconds with effective indexing. Consider a scenario where a user is searching for a specific product in an e-commerce database. Without an index on the product name or ID, the database would need to examine every single row in the product table to find matches, resulting in a frustratingly slow search experience.

The benefits of proper indexing extend beyond just speed. They impact application responsiveness, user experience, server capacity planning, and even energy efficiency. In modern applications, data volumes are constantly growing, making the difference between indexed and non-indexed queries critical for handling peak loads without timeouts or user frustration. In transaction-heavy systems, intelligent indexing can be the key to smooth scaling and avoiding constant performance interventions. Furthermore, with the increasing emphasis on sustainable computing, reducing resource consumption through efficient indexing contributes to a greener IT footprint.

While insufficient indexing leads to obvious performance degradation, excessive indexing can also create challenges such as slower write speeds, increased maintenance, and higher storage costs. Each index adds overhead to INSERT, UPDATE, and DELETE operations, as the database must update the index structure whenever the underlying data changes. Therefore, achieving the right balance requires a deep understanding of your data access patterns and PostgreSQL's internal optimization techniques. Regular monitoring of index usage and performance is essential for identifying opportunities to optimize or remove unnecessary indexes.

### **PostgreSQL Indexing Basics**



#### **B-tree: The Default Structure**

PostgreSQL uses B-tree indexes by default, organizing data in a balanced tree structure that enables quick lookups. This structure works efficiently for equality operations (=) and range queries (>, <, BETWEEN).

B-trees maintain a balance between read performance, write overhead, and storage requirements, making them suitable for most general-purpose scenarios.

The B-tree structure ensures that data retrieval operations have logarithmic time complexity (O(log n)), providing consistent performance even as the dataset grows into millions of rows. The leaf nodes contain pointers to the actual table data, allowing PostgreSQL to quickly locate specific records without scanning the entire table.

Each node in a B-tree contains multiple keys and pointers, maximizing disk I/O efficiency by reducing the number of disk reads required to traverse from root to leaf nodes during query execution.

#### When PostgreSQL Uses Indexes

- When a WHERE clause references an indexed column
- For JOIN conditions on indexed columns
- When sorting (ORDER BY) on indexed fields
- During aggregation operations with GROUP BY

The query planner decides whether to use an index based on statistics, table size, and estimated result set size.

PostgreSQL may opt for a sequential scan instead of an index scan if it estimates that a large percentage of the table will be returned. This typically occurs when more than 5-10% of rows would match the query condition, as the overhead of random access via the index becomes less efficient than reading the entire table sequentially.

The planner also considers factors such as index selectivity, correlation between columns, and available memory for operations. Understanding these decision points is crucial for diagnosing why an index might not be used even when it exists.

For complex queries involving multiple conditions, PostgreSQL can combine multiple indexes using bitmap index scans, providing efficient access paths even when no single index covers all query conditions.

### How PostgreSQL Handles Indexes Internally

 $\mathcal{L}_{\mathcal{I}}$ 

Ö





#### **Query Submission**

Query arrives at PostgreSQL server

The process begins when a SQL query is submitted to the PostgreSQL server for execution. This triggers the query processing pipeline.

#### Q

#### **Scan Selection**

Index scan chosen for selective queries, sequential scan for large result sets

Based on statistics and estimated costs, the planner selects either an index scan for queries expected to return a small subset of rows or a sequential scan for large result sets where reading the entire table is more efficient.

#### Query Planning

Planner evaluates available indexes and access paths

The query planner examines the query and available indexes to determine the most efficient way to retrieve the requested data. It considers various access paths, including index scans and sequential scans.

#### Data Retrieval

Index pointers locate exact table rows, avoiding full table scan

If an index scan is chosen, the index pointers are used to directly locate the relevant rows in the table, avoiding a full table scan and significantly improving query performance.

PostgreSQL tracks index usage statistics in the **pg\_stat\_user\_indexes** view, allowing administrators to identify which indexes are being used and how frequently. This information is crucial for ongoing index optimization.

### Types of Indexes in PostgreSQL



#### **B-tree**

The default index type in PostgreSQL, B-tree indexes are optimized for equality and range queries. They work efficiently with comparison operators such as <, <=, =, >=, and >, making them suitable for most common scenarios. They are versatile and can handle various data types, ensuring broad applicability across different database schemas. B-tree indexes maintain a sorted tree structure, enabling quick lookups and ordered data retrieval.

#### Hash

Hash indexes are specialized for equality operations only and are best used when you only need exact matches and no range queries. Unlike B-tree indexes, hash indexes do not support range scans or ordered results. They use a hash function to compute the location of each row, providing very fast lookups for simple equality checks. However, they are less commonly used due to their limitations and the availability of more versatile index types like B-tree.

#### **GiST and SP-GiST**

GiST (Generalized Search Tree) indexes and SP-GiST (Space-Partitioned GiST) indexes are used for spatial data, full-text search, and complex custom data types. GiST indexes support a wide range of search algorithms and data types, making them highly adaptable. SP-GiST indexes are an optimization of GiST, particularly useful when dealing with non-balanced data distributions. They efficiently handle data with varying densities, ensuring fast search performance even in complex scenarios.

#### **GIN and BRIN**

GIN (Generalized Inverted Index) indexes are designed for multi-value columns such as arrays and JSON data types. They allow you to index individual elements within these complex data structures, enabling efficient searches for specific values within arrays or JSON documents. BRIN (Block Range INdex) indexes are suitable for very large tables with natural ordering, such as time-series data. They work by storing summary information about blocks of data, reducing the index size and improving performance for queries that align with the natural ordering of the data.

### **B-tree Indexes: The Default Workhorse**



#### Performance Profile

Excellent balance of read speed and write overhead, making them suitable for both OLTP and OLAP workloads. They provide fast lookups for equality and range queries with minimal impact on write operations.

#### 3

1

#### **Storage Characteristics**

Self-balancing tree structure ensures consistent performance and automatically adjusts to changes in data distribution. This eliminates the need for manual intervention and ensures stable query performance over time.

#### 2 Query Support

Supports equality, range queries, prefix-based LIKE, and sorting, enabling versatile query optimization. They are particularly effective for queries that involve conditions based on indexed columns.

#### Usage Statistics

Used in the vast majority of production databases (95%+) due to their reliability and broad applicability. They are the default choice for most indexing needs in PostgreSQL.

B-tree indexes are the workhorses of PostgreSQL, organizing data in a balanced tree structure with sorted keys and pointers. This design allows PostgreSQL to quickly locate data without full table scans. By dividing the search space at each tree level, Btrees minimize the comparisons needed to find specific values efficiently.

4

This balanced architecture ensures consistent performance, irrespective of the queried values, making B-trees ideal for generalpurpose indexing needs. This balance ensures predictable query times, even with growing data volumes, which is critical for maintaining application responsiveness. For example, in an e-commerce database, a B-tree index on the customer\_id column allows for fast retrieval of customer orders, regardless of how many orders are in the system.

B-tree indexes in PostgreSQL are highly configurable and adaptable to specific workload patterns. They support numerous data types, including numeric, text, and date/time, making them suitable for diverse database schemas. As self-maintaining structures, they automatically adapt to data changes, reducing the need for manual upkeep. Furthermore, parameters like fillfactor can be tuned to optimize space utilization and performance for specific workloads, providing a high degree of customization.

### Hash Indexes: When to Use Them



#### 1

2

3

4

#### What's Changed

Prior to PostgreSQL 10, hash indexes weren't crash-safe and were rarely used in production. Since version 10, they're fully WAL-logged and reliable for production use.

Hash indexes are now competitive with B-trees for equality-only operations, sometimes offering better performance with a smaller storage footprint.

This improvement in reliability and performance makes hash indexes a viable alternative for specific scenarios where equality checks are the primary query pattern. However, it's essential to understand their limitations before deploying them in production environments.

#### Ideal Use Cases

- Simple equality checks (col = value)
- High-cardinality columns (many unique values)
- Memory-constrained environments
- Lookup tables with fixed values

Hash indexes don't support range queries, sorting, or prefix matching, making them unsuitable as generalpurpose replacements for B-trees.

Therefore, consider hash indexes when your queries are predominantly equality-based and the columns being indexed have a large number of distinct values. Avoid them if your application requires range-based searches or sorting operations on the indexed columns.

### **GiST and SP-GiST Indexes**



#### GiST (Generalized Search Tree)

GiST is a versatile indexing framework that stands for Generalized Search Tree. It supports custom data types, allowing you to define indexes for complex data structures. GiST indexes are particularly useful for complex queries, supporting a wide range of operations beyond simple equality checks.

- Ideal for "contains," "overlaps," and "nearest neighbor" operations, making it suitable for spatial and geometric data.
- Used extensively in geographic information systems (GIS) with the PostGIS extension and for advanced text search capabilities with tsvector.

#### SP-GiST (Space-Partitioned GiST)

SP-GiST is a specialized form of GiST, designed for nonbalanced data distributions. This means it's optimized for cases where some values appear much more frequently than others. SP-GiST implements space-partitioning trees like quadtrees and k-d trees, which are effective for organizing data in multi-dimensional spaces.

- Excellent for indexing IP address ranges and phone number ranges, where certain prefixes might be more common.
- Offers better performance compared to standard GiST indexes when dealing with clustered or skewed data, where data points are not evenly distributed.

#### **Common Applications**

Both GiST and SP-GiST index types excel in specialized domains where traditional B-tree indexes are inefficient or unsuitable. These indexes enable PostgreSQL to compete with specialized database systems for very specific workload requirements, extending its functionality beyond standard relational data.

- Enables powerful spatial extensions like PostGIS, allowing PostgreSQL to efficiently handle geographic data and spatial queries.
- Supports advanced text search with language-specific features, stemming, and ranking capabilities, enhancing text-based search performance.
- Facilitates multi-dimensional data queries, making it possible to efficiently search and retrieve data based on multiple criteria or attributes simultaneously.

### **GIN and BRIN Indexes**



#### GIN (Generalized Inverted Index)

GIN indexes excel at handling columns where each row contains multiple values that need to be searchable individually. They're perfect for:

- Array columns where you need to find rows containing specific array elements
- JSONB fields with complex conditions and containment queries
- Full-text search where documents contain many words

GIN indexes are larger and slower to build than B-trees but offer superior query performance for complex data structures. GIN indexes work by creating an inverted index where each value points back to the rows that contain it. This makes lookups very fast when searching for specific values within complex data types. However, this structure can result in a larger index size and slower write performance compared to B-tree indexes. When deciding to use a GIN index, consider the trade-offs between read and write performance, and the size of the index relative to the table.

#### BRIN (Block Range INdex)

BRIN indexes store summary information about blocks of table data instead of individual rows, making them incredibly space-efficient:

- Time-series data with timestamps in sequence
- Sensor readings stored chronologically
- Tables with natural physical ordering

A BRIN index might be 1000x smaller than a B-tree while still eliminating 90% of table scans for range queries on ordered data. BRIN indexes are most effective when the data is physically sorted on disk according to the indexed column. In such cases, the index can efficiently exclude large ranges of blocks that do not contain the search value. However, if the data is not well-correlated with its physical storage order, the effectiveness of the BRIN index can be significantly reduced. Regular maintenance and clustering of the table may be necessary to maintain optimal performance of BRIN indexes.

### Multi-Column Indexes



#### **Structure and Function**

Multi-column indexes combine two or more columns in a single index structure. They're especially valuable when queries frequently filter or join on the same set of columns together. This type of index optimizes queries that use multiple columns in their WHERE clause, providing a more efficient search path than individual single-column indexes could offer.

Consider a scenario where you often query based on both customer\_id and order\_date. A multi-column index on (customer\_id, order\_date) can significantly speed up these queries.

E

#### **Column Order Matters**

The order of columns is critical. PostgreSQL can use a multi-column index efficiently only if the query references the leading column(s). For example, an index on (a,b,c) helps queries filtering on a, (a,b), or (a,b,c), but not queries filtering only on b or c. The leading column is the most important for initial filtering.

To illustrate, if you have an index on (product\_category, price\_range), queries that filter first by product\_category will benefit the most. Queries that only filter by price\_range will not effectively use this index.

#### Performance Advantages

|**.**.'|-

A properly designed multi-column index can replace several single-column indexes, reducing storage overhead and maintenance costs while providing faster query execution. This is because the query optimizer can use a single index to satisfy multiple conditions, rather than having to intersect the results of multiple index scans.

For instance, instead of having separate indexes on city and zip\_code, a single multi-column index on (city, zip\_code) can serve queries that filter on either or both columns, leading to better performance and simplified index management.

#### **Design Considerations**

Create multi-column indexes based on actual query patterns. Put the most selective columns (those that filter out the most rows) first, followed by columns used in range conditions. Selectivity refers to how many distinct values a column has relative to the total number of rows; higher selectivity means fewer rows match a given value.

For example, if you're indexing (status, creation\_date), and status has values like 'active', 'pending', and 'closed', it's likely more selective than creation\_date if you typically query for 'active' records within a date range. Therefore, status should come first in the index.

### Indexes vs Table Size and Query Types



#### **Index Overhead Considerations**

1

- Storage space: Each index can add 20-100% to your database size. This additional storage is required to maintain the index structure, especially for large tables with many indexes. For instance, a table of 10GB might require an additional 2GB to 10GB for indexes.
- 2 Write performance: Every INSERT, UPDATE, and DELETE must update all affected indexes. This can significantly slow down writeheavy operations, as the database needs to maintain index consistency. For example, inserting millions of rows into a table with numerous indexes can take significantly longer than inserting into an unindexed table.
- Maintenance overhead: VACUUM
  and ANALYZE operations take
  longer. These maintenance tasks
  are crucial for performance but
  become more resource-intensive
  with numerous indexes. A full
  VACUUM on a heavily indexed
  table might take hours, impacting
  database availability.

Consider a scenario where you have a table with frequently updated columns and several indexes. Each update will trigger index modifications, increasing the write overhead. Regularly monitor index usage and consider removing unused or redundant indexes to mitigate these costs.

#### **Scan Strategy Selection**

- 1Index scan: Direct lookup for<br/>small result sets (~1-5% of table).2Bitmap<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>scan.1Index scan: Direct lookup for<br/>small result sets (~1-5% of table).2Bitmap<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>result sets<br/>result sets2Bitmap<br/>result sets<br/>result sets<br/>result sets<br/>result sets1Index scan: for<br/>queries that target a small<br/>number of rows based on indexed<br/>columns. For example, a query<br/>using an index to fetch a single<br/>user by ID would use an index<br/>scan.111Index to fetch a single<br/>might is<br/>results11
- Bitmap scan: For medium-sized result sets (~5-25% of table). Bitmap scans are used when multiple indexes can be combined to filter rows. If you have indexes on both city and age, and a query filters on both, a bitmap scan might be used to combine the results.
- 3

3

Sequential scan: For large result sets (>25% of table). A sequential scan reads the entire table, which is faster when a large portion of the table needs to be accessed. A report that needs to aggregate data from most of the rows would likely trigger a sequential scan.

For large tables, even an indexed query might use a sequential scan if the query would return many rows. The query planner evaluates the cost of each scan type and selects the most efficient one based on the estimated number of rows to be returned. Factors such as the table size, index selectivity, and the complexity of the query all influence this decision. The planner uses statistics gathered by the ANALYZE command to estimate these costs accurately.

Carefully evaluate the trade-offs between read performance gains and write performance costs when designing your indexing strategy. In summary, effective indexing requires a balance between improving query performance and managing the overhead associated with index maintenance. Regularly review your indexing strategy to ensure it aligns with your query patterns and data volumes, optimizing for both read and write operations.



### Index Scanning Strategies in PostgreSQL

#### **Sequential Scan**

Reads the entire table, making it suitable for large result sets. The query planner selects this strategy when a significant portion of the table needs to be accessed, as it avoids the overhead of index lookups. While inefficient for targeted queries, it becomes optimal when most rows are required.

#### Index Scan

Employs an index to directly locate specific rows. This is the preferred method for queries targeting a small number of rows based on indexed columns, providing rapid access to the desired data. It's ideal for scenarios where precise row retrieval is needed.

#### Bitmap Index Scan

Constructs an in-memory bitmap of matching rows. This strategy is particularly effective when combining multiple indexes to filter rows, allowing for complex query conditions to be efficiently evaluated. It's a versatile approach for medium-sized result sets where multiple indexes can be leveraged.

#### Index-Only Scan

Retrieves data directly from the index, bypassing table access altogether. This requires that all columns needed by the query are included in the index, maximizing performance by minimizing I/O operations. Regular vacuuming is essential to maintain the visibility map for optimal efficiency.

The **index-only scan** represents PostgreSQL's most efficient data retrieval method, as it fetches data exclusively from the index, eliminating the need to access the table. To fully capitalize on this, ensure that all columns required by the query are incorporated into the index. Furthermore, maintaining an up-to-date visibility map through frequent vacuuming is critical for sustained performance.

**Partial indexes** offer a powerful means to boost performance by indexing only a subset of rows that are frequently queried. By focusing on specific data segments, such as active users or recent orders, partial indexes reduce index size and accelerate both read and write operations. This targeted approach optimizes resource utilization and enhances overall query responsiveness.

### When NOT to Index



While indexes generally improve query performance, they come with costs and aren't always beneficial. Here are key scenarios where indexing might be counterproductive:

#### $\Theta^{\otimes}$

 $\searrow$ 

**a** 

#### **Small Tables**

Tables with fewer than a few thousand rows often don't benefit from indexes. A sequential scan of a small table can be faster than the overhead of using an index, especially if the table fits in memory. In such cases, the query planner will likely choose a sequential scan regardless of available indexes. PostgreSQL's statistics collector is smart enough to recognize when scanning the entire table is more efficient.

#### Low-Cardinality Columns

Columns with few unique values (like boolean flags, status codes, or gender) typically don't benefit from standard indexes. The query planner often ignores indexes on low-cardinality columns because the selectivity is poor. Partial indexes or covering indexes might still help in specific query patterns by targeting specific values within these columns. As a rule of thumb, if a column has fewer than 100 distinct values in a large table, a standard B-tree index may not be helpful.

#### **Full Text Search Without Proper Indexes**

Adding regular B-tree indexes for text search operations like LIKE '%term%' won't help and may waste resources. PostgreSQL won't use standard indexes for wildcard searches that start with a wildcard. For text search, specialized indexes like GIN with the pg\_trgm extension or full-text search capabilities with the tsvector data type are more appropriate than conventional indexing approaches. **S** 

#### Write-Heavy Workloads

Each additional index slows down write operations. For tables experiencing hundreds or thousands of inserts per second, excessive indexes can create bottlenecks and lead to index bloat, degrading overall performance. The cost of maintaining indexes on frequently updated tables can outweigh the benefits for read operations. Consider using unlogged tables or delaying index creation until after bulk loads to improve write performance.

 $(\mathbf{b})$ 

#### **Rarely Queried Data**

Indexes that support queries run only a few times a month may not justify their ongoing maintenance cost. The storage space and maintenance overhead of these indexes can be significant. Consider creating temporary indexes for occasional reporting needs rather than permanent ones to minimize long-term overhead. For infrequent analytics, materialized views might be a better alternative than maintaining permanent indexes.

**OLAP and Data Warehouse Workloads** 

In analytical processing where queries scan large portions of tables, traditional row-based indexes may not be optimal. For these workloads, consider columnar storage extensions, partitioning strategies, or specialized index types like BRIN (Block Range INdexes) that provide lightweight indexing for sequential data. Sometimes materialized views with targeted aggregations outperform heavily indexed tables for analytical queries.

Remember that every index has both a storage cost and a maintenance cost. The PostgreSQL query planner is sophisticated enough to determine when using an index is more efficient than a sequential scan, so creating unnecessary indexes can waste resources without improving performance.



### Identifying Missing Indexes

#### Using EXPLAIN and EXPLAIN ANALYZE

These commands reveal the query execution plan chosen by PostgreSQL:

- Seq Scan operations on large tables indicate potential missing indexes
- High **Rows Removed by Filter** values suggest a missing or ineffective index
- Sort operations could be eliminated with proper indexes

Always compare estimated rows with actual rows to identify statistics issues.

#### pg\_stat\_statements for High-Cost Queries

This extension tracks execution statistics across your database:

- Identifies frequently run and high-total-cost queries
- Shows average execution time to prioritize tuning efforts
- Reveals queries with high shared\_blks\_read counts that could benefit from indexing

Focus on queries with both high execution counts and high average runtimes for maximum impact.

### **Common Indexing Mistakes**



#### **Over-Indexing**

P

கு

Adding too many indexes creates storage overhead, slows down writes, and complicates maintenance. Each additional index has diminishing returns and increases the planner's workload to choose the right strategy. Over-indexed databases often see write performance degrade by 20-30% while query planning time increases substantially. A well-designed database typically needs only 1-2 indexes per table rather than indexes on every potentially queryable column.

#### **Redundant Indexes**

A multi-column index on (a,b) makes a separate index on (a) unnecessary in most cases. Redundant indexes waste space and slow down write operations without providing additional benefits. For example, having separate indexes on (customer\_id), (customer\_id, order\_date), and (customer\_id, order\_date, status) is wasteful since the first two are redundant. PostgreSQL's pg\_stat\_duplicate\_indexes view can help identify these costly duplications that can consume up to 40% of your index storage space.

#### Using the Wrong Index Type

▶⊒

 $\square$ 

B-tree indexes don't perform well for full-text search or array containment queries. Match your index type to your specific query patterns for optimal performance. For text search, GIN or GiST indexes with pg\_trgm can provide 100x faster searches. For geometric data, GiST outperforms B-tree dramatically. For large tables with time-series data, BRIN indexes can offer 95% of the performance benefit with only 1-2% of the storage cost of a B-tree index. Choosing the right index type is often more important than adding more indexes.

#### Incorrect Column Order

In multi-column indexes, placing the less selective column first (e.g., status before user\_id) creates inefficient indexes that the planner may ignore entirely. For optimal performance, arrange columns from highest to lowest cardinality—columns with many unique values should come before those with few values. A properly ordered index on (user\_id, status) might be used for filtering by user\_id alone or both user\_id and status, while an index on (status, user\_id) is nearly useless for filtering by user\_id alone. This mistake can reduce index effectiveness by up to 80% in real-world workloads.

Beyond these four major mistakes, be wary of not updating your index strategy as data grows. An index strategy that works well for 100,000 rows often fails at 10 million rows. Regular index maintenance and periodic review of both slow queries and index usage statistics are essential practices for sustaining optimal database performance.

### Partial Indexes for Targeted Performance



#### What Are Partial Indexes?

Partial indexes include only rows that satisfy a specific condition, resulting in a smaller and more efficient index. Use them when queries consistently filter data based on the same criteria. This reduces the index size and improves performance for queries that match the condition.

CREATE INDEX idx\_active\_users ON users(email) WHERE status = 'active';

This example creates an index for active users only. If active users represent a small subset of the total user base, the index size can be significantly reduced, leading to faster index scans and reduced storage costs. Partial indexes are particularly useful when a significant portion of the table data is rarely accessed or queried.

The performance impact can be substantial - a partial index might be 70-90% smaller than a full index on the same column, resulting in proportionally faster lookup times. For tables with millions of rows, this can transform slow queries into near-instantaneous ones.

PostgreSQL's optimizer is smart enough to choose the partial index only when the query condition matches or is compatible with the index's WHERE clause. For example, a query with WHERE status = 'active' AND email LIKE '%@example.com' would effectively use our partial index example above.

#### **Benefits and Use Cases**

- 1 Smaller indexes mean faster operations and reduced disk space, leading to improved query performance.
- 2 Fewer updates are needed during writes to inactive records, reducing write overhead.
- 3 Ideal for applications with frequent "active only" queries, providing a targeted performance boost.
- 4 Well-suited for columns with skewed data, where queries focus on a specific subset, optimizing index usage.
- 5 Lower maintenance overhead as index bloat is minimized on targeted subsets of data.
- 6 Can significantly improve overall database performance by reducing resource contention.

Consider using partial indexes for scenarios like: recently active users, non-deleted records, orders with particular statuses (e.g., 'pending' or 'shipped'), data within a specific time frame (e.g., last month's transactions), or flagged content. By targeting specific data subsets, partial indexes offer significant performance gains compared to full-table indexes.

For instance, in an e-commerce application, you might create a partial index on the orders table to index only orders with a status of 'pending'. This index would be much smaller than an index on all orders, and queries that filter by status = 'pending' would benefit significantly.

Another powerful application is time-series data, where you might create: CREATE INDEX idx\_recent\_logs ON logs(timestamp, level) WHERE timestamp > NOW() -INTERVAL '30 days'; This keeps your index focused only on recent logs, which are typically gueried most frequently.

When implementing partial indexes, remember to periodically review their conditions. As your application evolves, you may need to adjust these conditions to maintain optimal performance. For example, if your definition of "active" users changes from "logged in within 30 days" to "logged in within 90 days," you'll need to update your partial index accordingly.

### Covering (Included Column) Indexes



#### Standard Index

Index contains only the indexed column, which is the minimum requirement for an index.

A standard index helps in quickly locating rows based on the indexed column but may require additional lookups to retrieve other columns.

#### **Covering Index**

Contains all data needed by the query, eliminating the need to access the table.

By including all required columns in the index, the database can satisfy the query directly from the index, resulting in faster query execution.

#### Index + Table Lookup

Most queries need both index and table data, which can lead to performance bottlenecks.

When a query requires columns not included in the index, PostgreSQL needs to perform a table lookup after using the index, which can be slow especially for large tables.

#### Index-Only Scan

Eliminates costly table lookups by fetching all required data directly from the index.

An index-only scan is the most efficient way to retrieve data, as it avoids disk I/O associated with table access, especially beneficial for frequently accessed data.

PostgreSQL 11 introduced the INCLUDE clause, allowing non-key columns to be stored in the index leaf nodes without being part of the index structure itself. This enables index-only scans for more queries without the overhead of maintaining sort order for the included columns.

CREATE INDEX idx\_orders\_customer ON orders(customer\_id) INCLUDE (status, total);

This index efficiently supports queries like **SELECT status, total FROM orders WHERE customer\_id = 123** without touching the table at all.

### **Expression and Functional Indexes**



#### **Function-Based Indexing**

Index the result of expressions or functions for specialized gueries involving complex calculations or data transformations. Improves performance when querying computed or derived values.

Example: Speed up gueries based on mathematical operations applied to a column by creating an expression index.

#### 3

1

**Date/Time Transformations** 

Use indexes on date trunc('day', timestamp) to accelerate aggregations and time-based lookups for time series data or reporting. Efficient for gueries filtering by day, month, or year.

Example: Index date trunc('month', order date) for faster retrieval of monthly sales data.

#### **Case-Insensitive Searches**

2

4

Create an index on lower(email) for fast, caseinsensitive lookups, a more performant alternative to ILIKE. Preserves proper case in the actual data. Benefit: Direct index usage avoids full table scans and the slower ILIKE operator, especially on large tables.

#### **JSON/JSONB** Field Extraction

For consistent queries on specific JSON fields, create expression indexes on those paths, such as ((data->>'user id')::int), to avoid full JSON scanning. Essential for optimizing queries on semi-structured data.

Benefit: Direct access to indexed data without parsing the entire JSON document, improving query performance, especially for nested fields.

### **Unique Indexes and Constraints**



Feature	PRIMARY KEY	UNIQUE Constraint	UNIQUE Index
Enforces uniqueness	Yes	Yes	Yes
Creates an index	Yes (B-tree)	Yes (B-tree)	Yes (B-tree)
Allows NULL values	No	Yes (one NULL only)	Yes (one NULL only)
Referenced by foreign keys	Yes	Yes	Νο
Declarative referential integrity	Yes	Yes	Νο

Both PRIMARY KEY and UNIQUE constraints create unique indexes automatically. The key differences are semantic: PRIMARY KEY implies "this is the main identifier" while UNIQUE merely enforces uniqueness. A table can have only one PRIMARY KEY, which also serves as the clustered index in many database systems, although PostgreSQL doesn't have clustered indexes in the same way as some other databases. UNIQUE constraints, on the other hand, can be multiple within a single table, each ensuring uniqueness across different columns or combinations of columns.

In terms of query performance, all three options provide the same speed benefits, as they all create the same type of B-tree index. The choice should be based on your data integrity requirements rather than performance concerns. When deciding between a UNIQUE constraint and a UNIQUE index, consider that constraints offer a more declarative way to define data integrity rules within your database schema. Also consider that Foreign keys can reference UNIQUE constraints but not UNIQUE indexes.

It's also worth noting that PostgreSQL treats NULL values in UNIQUE indexes and constraints in a specific way: it allows only one NULL value per unique key. This is because NULL is not considered equal to itself in SQL. If you need to enforce uniqueness across a column that may contain NULLs, you might need to consider alternative approaches, such as using a partial index with a WHERE clause that excludes NULL values, combined with a CHECK constraint to enforce the desired behavior.

### Index Maintenance and Bloat



#### How Index Bloat Occurs

When rows are updated or deleted in PostgreSQL, the original index entries aren't immediately removed but are marked as dead. This creates "bloat"—indexes that consume more space than necessary and perform suboptimally.

Common causes of excessive index bloat include:

- High update rates on indexed columns
- Insufficient autovacuum settings
- Long-running transactions preventing vacuum cleanup
- Batch operations that modify large portions of indexed data
- Frequent index rebuilds during peak hours

The impact of bloat can be severe—query performance can degrade by 2-10x, and disk space usage may increase dramatically. In extreme cases, indexes might consume 5x more space than necessary, leading to increased I/O and reduced cache efficiency.

#### **Maintenance Operations**

**VACUUM** reclaims space and updates statistics but doesn't rebuild the index. It's run automatically by autovacuum but can be manually triggered.

For optimal autovacuum settings, consider:

- Adjusting autovacuum\_vacuum\_scale\_factor (default: 0.2)
- Lowering autovacuum\_vacuum\_threshold for frequently updated tables
- Setting table-specific autovacuum parameters

**REINDEX** completely rebuilds a bloated index, which locks the table for writes but produces optimally structured indexes. For production systems, consider **CREATE INDEX CONCURRENTLY** followed by dropping the old index.

Alternative maintenance approaches:

- Regular index rotation (create new  $\rightarrow$  switch  $\rightarrow$  drop old)
- Scheduled maintenance windows for full REINDEX operations
- Using pg\_repack extension for online table and index reorganization

Monitor bloat with the pg\_stat\_user\_indexes view and specialized extensions like pgstattuple. The bloat\_check.sql script from check\_postgres is also useful for identifying problematic indexes.



### Monitoring Index Usage

#### pg\_stat\_user\_indexes View

This system view tracks detailed statistics about how often each index is used in scans, providing essential data for identifying both unused and heavily used indexes. Key columns include idx\_scan (number of index scans initiated), idx\_tup\_read (number of index entries returned), and idx\_tup\_fetch (number of live table rows fetched by index).

#### pg\_indexes\_size Function

This function returns the total disk space used by indexes on a specified table. Combined with usage statistics, it helps identify indexes that consume significant space while providing little benefit. Large, unused indexes are prime candidates for removal.

The most valuable indexes typically show high ratios of idx\_scan to sequential scans on their tables. Indexes with zero scans since the last database statistics reset are candidates for removal, although seasonal workloads should be considered before dropping indexes.

### Real-World Index Optimization: Case Study #1



#### Initial Problem: E-Commerce Search Slowdown

An online retailer was experiencing 3+ second response times for product searches as their catalog grew beyond 500,000 items. Customer complaints increased and cart abandonment rates rose by 15%.

#### Analysis and Diagnosis

EXPLAIN ANALYZE revealed full sequential scans on the products table with costly sorts. The existing singlecolumn indexes weren't being used effectively for multi-faceted searches that combined categories, attributes, and text queries.

#### Implemented Solutions

 Created a GIN index on the product\_name and description using tsvector for text search

2. Added a multi-column Btree index on (category\_id, brand\_id, price)

3. Implemented a partial index for products where in\_stock=true (90% of searches)

#### **Results and Learnings**

Search response time dropped from 3 seconds to 25ms (120x improvement). Server CPU load decreased by 45% despite a 20% increase in traffic. Key learning: combined text search with attribute filtering required specialized indexes for each access pattern.

### Real-World Index Optimization: Case Study #2



#### SaaS Analytics Platform Challenge

A B2B analytics platform stored client event data in a flexible JSONB column to accommodate varying event schemas across customers. As data volume grew to 50+ million events, dashboard loading times exceeded 30 seconds, making the product unusable for larger clients.

The core problem involved both flexible filtering (users could create custom reports based on any event attribute) and aggregation performance across these semi-structured JSON documents.

#### **GIN Index Solution**

The team implemented a comprehensive indexing strategy:

- Created a GIN index on the entire JSONB field using jsonb\_path\_ops for containment queries
- Added expression indexes on the most common key paths like ((data->>'event\_type'))
- Implemented a BRIN index on the event timestamp for time-range filtering
- Added partial indexes for the most common report types

Query times dropped from 30+ seconds to under 200ms, eliminating full table scans entirely. The solution maintained schema flexibility while delivering performance comparable to a traditional normalized schema.

### Indexes and Partitioned Tables

# **Ž**M

#### **Partitioning Benefits**

Partitioning splits large tables into manageable chunks by range, list, or hash values, improving performance through targeted scans and efficient partition pruning.

#### Index Creation Strategies

Indexes can be created on the parent table (propagating to all partitions) or individually on each partition—offering either consistency or partition-specific optimization.

#### Global vs. Local Indexes

PostgreSQL implements local indexes where each partition maintains its own index, enhancing write performance but requiring the planner to access multiple indexes when scanning across partitions.

#### **Performance Considerations**

For time-series data, index only recent partitions with frequent queries. Older, rarely-accessed partitions often benefit more from reduced storage than query speed.

 $\bigoplus$ 

(<sup>-</sup>)

[M]

 $\int \mathcal{D}$ 



### Indexes and Foreign Data Wrappers (FDW)

#### How FDW Indexing Works

Foreign Data Wrappers allow PostgreSQL to access data stored in external systems like other databases, CSV files, or web services. Index usage with FDWs depends entirely on the specific wrapper's implementation.

The postgres\_fdw (for remote PostgreSQL servers) supports the most advanced index features, allowing index information from the remote server to influence the local query planner.

#### Index Pushdown Capabilities

- postgres\_fdw: Full support for remote index usage with WHERE clause pushdown
- **mysql\_fdw**: Basic WHERE clause pushdown but limited index usage information
- **file\_fdw**: No index support (full file scan for every query)
- **mongodb\_fdw**: Partial support for index-based queries

When working with FDWs, EXPLAIN shows which conditions are "pushed down" to the remote server where they can utilize remote indexes. Conditions not pushed down are applied after data retrieval, usually resulting in poor performance.

### Index Design for Write-Intensive Workloads

2

3

4



#### Write Performance Challenges

Each index on a table adds overhead to write operations (INSERT, UPDATE, DELETE). For writeheavy applications like logging systems or IoT data collection, excessive indexing can create serious bottlenecks.

#### Strategic Partial Indexing

For write-heavy tables where queries target specific subsets of data, partial indexes can dramatically reduce write overhead while maintaining read performance for critical queries.

#### HOT (Heap-Only Tuple) Updates

PostgreSQL's HOT feature allows for more efficient updates when non-indexed columns change. By minimizing index modifications, HOT updates significantly improve write performance. Consider keeping frequently updated columns out of indexes when possible.

#### **Covering Indexes for Balance**

When both read and write performance matter, covering indexes (INCLUDE clause) can provide a good compromise, supporting index-only scans for important queries while minimizing the number of separate indexes.

### **Automation: Index Advising Tools**



#### pg\_qualstats

This extension tracks the predicates used in WHERE clauses across your database, helping identify the most common filter conditions that would benefit from indexing. It maintains statistics on predicate usage frequency and selectivity, providing empirical data for index creation decisions.

When installed, pg\_qualstats works passively in the background, collecting data on query patterns without impacting performance. The collected statistics can be analyzed through views like pg\_qualstats\_pretty, which ranks predicates by their occurrence and potential impact.

#### HypoPG

HypoPG allows you to create "hypothetical" indexes that don't actually exist but are visible to the query planner. This lets you test how different indexes would affect query plans without the overhead of creating real indexes, making it ideal for testing various indexing strategies in production-like environments.

Using functions like hypopg\_create\_index(), you can simulate an index and then run EXPLAIN to see how it would affect your queries. This provides valuable insights while avoiding the storage, maintenance, and write overhead of actual indexes during testing.

#### pgtune and pgindexadvisor

These purpose-built tools help optimize PostgreSQL configuration and indexing. pgtune provides hardwarespecific configuration recommendations, while pgindexadvisor analyzes query logs to suggest indexes that would optimize your most common or problematic queries based on actual workload patterns.

pgindexadvisor is particularly valuable for its ability to consider existing indexes and recommend consolidation where appropriate, potentially reducing the total number of indexes while improving performance.

#### pg\_stat\_statements + Auto\_explain

When used together, these built-in modules form a powerful index recommendation system. pg\_stat\_statements tracks query performance statistics, while auto\_explain logs execution plans for slow queries. By analyzing these logs, you can identify queries that would benefit most from better indexing.

This combination requires minimal setup and works with all PostgreSQL versions. The data gathered can be used with scripts or manual analysis to determine optimal indexing strategies based on your actual workload.

#### **Cloud Advisors and Tools**

Major cloud providers offer automated index recommendations for their PostgreSQL services. AWS Performance Insights, Azure Database Advisor, and Google Cloud SQL Insights can all suggest missing indexes based on workload analysis. Third-party tools like pganalyze and pgMustard provide similar capabilities with more detailed recommendations.

These cloud-based tools typically offer integration with monitoring dashboards and can provide ongoing recommendations as your workload changes. Many include impact analysis that estimates the potential performance improvement and storage costs of each suggested index.

5

<u></u>

 $\textcircled{\uparrow}$ 

|

### Testing and Benchmarking Index Changes



Thorough testing is essential before implementing index changes in production environments to ensure they deliver the expected performance improvements without negative side effects.

2

4

1

#### pgBench for Load Testing

pgBench is PostgreSQL's built-in benchmarking tool, allowing you to simulate concurrent users and measure throughput.

- Create custom scripts that mirror your actual workload patterns
- Test with different concurrency levels (1, 10, 50, 100) to identify bottlenecks
- Compare performance before and after index changes using metrics like TPS
- Run multiple iterations to ensure consistent results and identify outliers
- Consider both read-only (-S) and read-write (-N) test scenarios

#### 3 Regression Testing

Index changes can sometimes harm performance for queries not considered in the initial analysis.

- Maintain a comprehensive suite of common queries to test before deployment
- Check both read and write performance impacts across your application
- Verify that the query planner correctly chooses the new indexes
- Watch for plan instability where the optimizer switches between plans
- Monitor overall database size and backup/restore times after changes

#### EXPLAIN Benchmarking

EXPLAIN ANALYZE provides detailed execution metrics for individual queries, revealing exactly how indexes are being utilized.

- Capture baseline execution plans and times before making any changes
- Compare execution plans to verify proper index usage and scan methods
- Look for reduced cost estimates and actual execution times after changes
- Pay attention to both planning time and execution time metrics
- Use BUFFERS option to analyze I/O patterns and cache efficiency

#### **Production Validation**

Testing in production-like environments provides the most accurate performance assessment.

- Use production data volumes or representative samples for realistic testing
- Implement temporary indexes in non-peak hours to validate benefits
- Consider A/B testing methodologies for critical applications
- Monitor key application metrics beyond just database statistics
- Establish clear rollback procedures before implementing changes

When evaluating test results, consider the complete picture including query latency, throughput, resource utilization, and application response times. Remember that perfect benchmark results don't always translate to real-world improvements if test conditions don't match actual usage patterns.

### **Troubleshooting Slow Queries**

When PostgreSQL queries aren't performing as expected, check these common issues:

#### Type Mismatches

Implicit type conversions prevent index usage. Ensure column and parameter types match exactly. Watch for integer vs. varchar comparisons or timestamp vs. date issues.

Example: WHERE user\_id = '1234' won't use an index if user\_id is an integer column. Use WHERE user\_id = 1234 instead.

#### <u>~</u>

#### **Outdated Statistics**

The query planner relies on table statistics to choose execution plans. Run ANALYZE after significant data changes to ensure optimal plan selection.

If plans suddenly change for the worse, check when statistics were last updated with SELECT relname, last\_analyze FROM pg\_stat\_user\_tables; and run manual ANALYZE on problematic tables.

#### f(x) Function Calls

Functions on indexed columns (like LOWER()) prevent standard index usage unless you have a matching functional index. Move functions to the right side of the equation when possible.

Example: Replace WHERE LOWER(email) = 'user@example.com' with WHERE email = UPPER('user@example.com') or create a functional index with CREATE INDEX ON users (LOWER(email)).

#### Wrong Index Type

<u></u>

Using LIKE '%text%' with a B-tree index won't work efficiently. Match your index type to your query pattern (GIN for JSONB, GiST for full text, etc.).

For text search, consider creating a specialized index: CREATE INDEX ON documents USING gin(to\_tsvector('english', content)); and use WHERE to\_tsvector('english', content) @@ to\_tsquery('search:\*') for queries.

#### **OR Conditions**

وړ

Multiple OR conditions often lead to index scans being abandoned. Consider UNION ALL queries instead, or ensure each OR clause has its own index.

Example: SELECT \* FROM orders WHERE status = 'pending' OR customer\_id = 1234 works better with separate indexes on both columns, or as two queries combined with UNION ALL.

### $\nabla$

#### Inefficient WHERE Clauses

Non-selective WHERE conditions that filter out few rows can cause the planner to avoid indexes altogether. Ensure your most selective conditions come first in compound WHERE clauses.

Use EXPLAIN ANALYZE to check if your indexes are being used as expected and consider partial indexes for frequently filtered subsets of data.



### Locking and Blocking

Concurrent transactions may cause queries to wait on locks, appearing as "slow queries" when they're actually blocked. Check for lock contention with pg\_stat\_activity and pg\_locks views.

Consider optimizing transaction duration, adding appropriate indexes to reduce lock scope, or implementing row-level versioning strategies for highly concurrent workloads.

Remember to use EXPLAIN ANALYZE to diagnose specific query performance issues and identify which of these factors might be affecting your workload.

### Indexing Best Practices: Summary & Next Steps

 $\bigcirc$ 



#### Analyze Your Workload

 $|\leftrightarrow|$ 

<u>/</u>

Identify your most frequent and expensive queries using pg\_stat\_statements and pg\_stat\_user\_indexes. Focus on high-impact improvements rather than trying to optimize everything. Look for queries with high total\_time, calls, and rows processed to prioritize your optimization efforts. Examine access patterns to determine which columns are frequently used in WHERE, JOIN, and ORDER BY clauses.

#### Measure & Validate

Test thoroughly before and after changes using EXPLAIN ANALYZE and benchmarking tools. Compare execution plans and actual timing statistics to verify improvements. Monitor both query performance and write overhead, especially during peak load periods. Document your findings to build a knowledge base of what works for your specific workloads. Use pg\_stat\_statements to track improvements over time with real workloads.

#### Implement Strategically

Choose the right index types for your specific query patterns. B-tree for equality and range conditions, Hash for exact equality, GIN for full-text and array searches, and BRIN for large tables with correlated physical and logical ordering. Consolidate indexes where possible, using multi-column indexes with careful column ordering. Use partial indexes for focused performance gains on frequently accessed subsets of data.

#### Maintain & Evolve

Schedule regular index reviews (monthly or quarterly) as your application evolves. Remove unused indexes identified with pg\_stat\_user\_indexes to reduce write overhead and storage costs. Run REINDEX periodically on frequently updated tables to combat index bloat. Adjust your strategy as data volumes grow and query patterns change. Consider automation tools like pg\_qualstats and hypopg for ongoing index recommendations.

To continue learning, explore the PostgreSQL documentation on indexing, particularly the chapters on index types and query planning. Community blogs like those by Percona, Cybertec, and pganalyze offer detailed case studies and advanced techniques. Attend PostgreSQL conferences or webinars where database experts share real-world optimization strategies that go beyond the basics.

Remember that indexing is both an art and a science—while these principles provide a foundation, every database has unique characteristics that may require specialized approaches. Balance query performance against write overhead, storage costs, and maintenance complexity. The ultimate goal is not to have the most indexes, but to have exactly the right indexes for your specific workload.

Consider setting up automated monitoring of index usage and query performance to catch regressions early. Tools like pg\_stat\_monitor, pgBadger, and commercial solutions can help identify changing patterns that might require index adjustments. Finally, keep in mind that indexing is just one part of database performance–query structure, server configuration, hardware resources, and application design all play critical roles in your overall PostgreSQL performance strategy.